

Association of Registered Investment Advisers

CIN : U93090MH2019NPL319033

Date: September 7, 2026

Dear Members,

You are cordially invited to attend the 8th Annual General Meeting ("AGM") of the members of the Association of Registered Investment Advisers ("the Company") to be held on Thursday, September 24, 2026 at 05:00 P.M. IST through video conferencing ('VC') or other Audio- Visual means ("OAVM") in accordance with the applicable provisions of the Companies Act, 2013.

In accordance with Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014, the Company is pleased to offer facility for e-voting (remote e-voting and during the AGM) through electronic means to its Members in respect of the business to be transacted at the AGM. The Notice of the AGM, setting out the businesses to be transacted thereat, together with the instructions for e-voting, is enclosed herewith.

Yours sincerely,

---Sd---

Mr. Amit Kukreja
Chairperson & Director
(DIN: 06855921)

Enclosures:

1. Notice of the Eighth Annual General Meeting ("AGM")
2. Instructions for e-voting
3. Financial Statements for the financial year ended March 31,2026 comprising of the Audited Balance Sheet, Statement of Income & Expenditure and Director's Report

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NOTICE is hereby given that the Eighth Annual General Meeting (“**AGM**”) of the Members of Association of Registered Investment Advisers (“**Company**”) will be held on Thursday, September 24, 2026 at 05:00 p.m. IST through electronic mode [Video Conference (“**VC**”) or other Audio-Visual means (“**OAVM**”)] to transact the following business:

ORDINARY BUSINESS:

Item No. 1: Adoption of Audited Financial Statements

To receive, consider and adopt the Audited Financial Statements of the Company for the Financial Year ended March 31, 2026 and the Reports of the Board and the Auditors thereon.

SPECIAL BUSINESS:

Election and Appointment of Directors

The Members are informed that Six (6) vacancies on the Board of Directors are proposed to be filled at the ensuing Annual General Meeting (“**AGM**”), comprising Three (3) vacancies arising due to completion of the respective terms of office, one (1) vacancy arising due to resignation of a Director and Two (2) vacancies arising due to cessation of the office of the Additional Directors as set out below

(i) completion of tenure and retirement by rotation, in accordance with **Article 7.2** of the Articles of Association of the Company, of Mr. Lovaii Anees Navlakhi, Mr. Harsh Vardhan Roongta and Mr. Tarun Birani;

(ii) Resignation of Mr. Shailendra Kumar from the office of Director in the ensuing Annual General Meeting, resulting in a vacancy on the Board; and

(iii) Cessation of the office of the Additional Directors, including Mr. Jigar Naresh Patel and Mr. Suresh Sadagopan, upon the conclusion of their tenure as Additional Directors of the Company. Mr. Jigar Naresh Patel and Mr. Suresh Sadagopan are proposed to be appointed as Directors of the Company, subject to the approval of the Members at the ensuing Annual General Meeting.

Accordingly, the Members are requested to consider the candidature of Mr. Jigar Naresh Patel and Mr. Suresh Sadagopan, along with the other candidates, for appointment as Directors of the Company at the ensuing Annual General Meeting.

As per the process set out in the Articles of Association of the Company (“**AoA**”), the Company received seventeen (17) applications for appointment to the Board of Directors against six (6) vacancies.

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The particulars of all seventeen (17) candidates, including the information required under the applicable provisions of the Companies Act, 2013, are set out in the Explanatory Statement annexed to this Notice. Members are requested to consider the particulars of each candidate before exercising their voting rights.

As there are six (6) vacancies and seventeen (17) candidates, the Members may exercise their voting rights in respect of the candidates in accordance with the voting procedure prescribed under the Articles of Association of the Company.

The Members are free to cast their vote and select the best six candidates for the said vacant position. The particulars of the candidates contesting the election are set out below in alphabetical order.

Item No. 2: Appointment of Mr. Harendra P. Zatakia as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") read with the Companies (Appointment and Qualification of Directors) Rules, 2014 and Article 7 of the Articles of Association of the Company, Mr. Harendra P. Zatakia (DIN:11928207) be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and his declaration confirming that he is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update the necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution."

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Item No. 3: Appointment of Mr. Hrushikesh Kale as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, Mr. Hrushikesh Kale (DIN: 08212909) be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and his declaration confirming that he is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution."

Item No. 4: Appointment of Mr. Jigar Naresh Patel as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of Sections 152, 161(1) and other applicable provisions, if any, of the Companies Act, 2013 ("the Act"), read with the Companies (Appointment and Qualification of Directors) Rules, 2014 and Article 7 of the Articles of Association of the Company, Mr. Jigar Patel (DIN: 03592820) authorized representative of Neuron Wealth Advisors LLP, who was appointed as an Additional Director of the Company pursuant to Section 161(1) of the Act and Article 7 of the Articles of Association of the Company and who holds office up to the date of this Annual General Meeting ("AGM"), be and is hereby appointed as a Professional Non-Executive Director of the Company with effect from the conclusion of this AGM, subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

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RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and his declaration confirming that he is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution.”

Item No. 5: Appointment of Mr. Jitendra Pratap Singh Solanki as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, Mr. Jitendra Pratap Singh Solanki (DIN: 08865867) be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and his declaration confirming that he is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution.”

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Item No. 6: Appointment of Mr. Kapil Agarwal as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, Mr. Kapil Agarwal (DIN: 07101961) be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and his declaration confirming that he is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution."

Item No. 7: Appointment Mr. Kishan Murjani Nair as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, Mr. Kishan Murjani Nair (DIN:05005329) the authorised representative of Flameback Investment Advisors be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and his declaration confirming that he is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

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RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution."

Item No. 8: Appointment Ms. Kiran Parag Telang as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

"**RESOLVED THAT** pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, Ms. Kiran Parag Telang (DIN: 06729480) the authorised representative of Dhanayush Capital Services Pvt Ltd be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and her declaration confirming that she is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution."

Item No. 9: Appointment Mr. Manikaran Singal as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

"**RESOLVED THAT** pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, Mr. Manikaran Singal (DIN: 02136238) the authorized representative of Good Moneying Wealth Planners Private Limited be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement

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contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and his declaration confirming that he is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution.”

Item No. 10: Appointment Mr. Mohammed Shareefuddin Shabbir as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, Mr. Mohammed Shareefuddin Shabbir (DIN: 01600370) the authorized representative of SenSage Financial Services Private Limited be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and his declaration confirming that he is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution.”

Item No. 11: Appointment Mr. Neelakantan Pillai as a Director:

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To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, Mr. Neelakantan Pillai (DIN: 09442493) the authorized representative of Vasupradah Investment Advisory Services Pvt Ltd be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and his declaration confirming that he is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution."

Item No. 12: Appointment Mr. Nitin Sawant as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, Mr. Nitin Sawant (DIN: 06890605) the authorized representative of NS Wealth Solution Private Limited be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and his declaration confirming that he is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

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RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution.”

Item No. 13: Appointment of Ms. Pramiti Rawat as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, Ms. Pramiti Rawat (DIN: 11928186) be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and her declaration confirming that she is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any of Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution.”

Item No. 14: Appointment of Ms. Ratnasri Karra as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, Ms. Ratnasri Karra,(DIN:02400787) the authorized representative of Her-Money Services Pvt Ltd be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

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RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and her declaration confirming that she is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution.”

Item No. 15 : Appointment of Mr. Rohan Devendra Borawake as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, Mr. Rohan Devendra Borawake (DIN:07239185) the authorized representative of Finsharpe Private Limited, be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and his declaration confirming that he is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution.”

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Item No. 16: Appointment of Mr. Suresh Sadagopan as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

RESOLVED THAT pursuant to the provisions of Sections 152, 161(1) and other applicable provisions, if any, of the Companies Act, 2013 ("the Act"), read with the Companies (Appointment and Qualification of Directors) Rules, 2014 and Article 7 of the Articles of Association of the Company,, Mr. Suresh Sadagopan (DIN: 06566562), the authorized representative of Ladder 7 Wealth Planner who was appointed as an Additional Director of the Company pursuant to Section 161(1) of the Act and Article 7 of the Articles of Association of the Company and who holds office up to the date of this Annual General Meeting ("AGM"), be and is hereby appointed as a Professional Non-Executive Director of the Company with effect from the conclusion of this AGM, subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and his declaration confirming that he is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution."

Item No. 17: Appointment of Ms. Upasana Mondal as Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, Ms. Upasana Mondal (DIN:10074676), be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

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RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and her declaration confirming that she is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution.”

Item No. 18: Appointment of Mr. Viresh Patel as a Director:

To consider and, if thought fit, to pass the following resolution as an Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, Mr. Viresh Patel (DIN:11928183), be and is hereby appointed as a Professional Non-Executive Director of the Company, with effect from the conclusion of this Annual General Meeting ("AGM"), subject to the provisions relating to retirement by rotation and mandatory retirement contained in Article 7 of the Articles of Association of the Company and the applicable provisions of the Act.

RESOLVED FURTHER THAT the consent to act as Director has been furnished in Form DIR-2 pursuant to Section 152(5) of the Act read with Rule 8 of the Companies (Appointment and Qualification of Directors) Rules, 2014, and his declaration confirming that he is not disqualified from being appointed as a Director under Section 164 of the Act, be and are hereby noted and taken on record.

RESOLVED FURTHER THAT any Director be and is hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution.”

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By Order of the Board of Directors
For **Association of Registered Investment Advisers**

--Sd/--

Mr. Amit Kukreja
Chairperson & Director
(DIN: 06855921)

Registered Office:

701/702 Madhava Building 7th floor,
Bandra Kurla Complex, Bandra East,
Mumbai – 400051.

Date: September 07, 2026

Place: Mumbai

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NOTES:

1. **Explanatory Statement:** An Explanatory Statement pursuant to Section 102 of the Companies Act, 2013, in respect of the Special Business to be transacted at the AGM is annexed hereto and forms part of this Notice as **Annexure 1**.
2. **Procedure for Attending the AGM Through Video Conference (VC) or Other Audio Visual Means (OAVM):** The Ministry of Corporate Affairs ("MCA") has vide its General Circular No. 03/2025 dated September 22, 2025, read with the relevant MCA circulars issued in this regard, has permitted the holding of General Meetings through Video Conferencing ("VC") or Other Audio-Visual Means ("OAVM"), subject to the conditions specified therein., without the physical presence of the Members at a common venue. In compliance with the provisions of the Act and MCA Circulars, the AGM of the Company is being held through VC / OAVM. The deemed venue for the AGM shall be the registered office of the Company.
 - (i) Members are requested to follow the instructions given below to attend and view the live proceedings of the AGM:
 - Log in on the CDSL website at **<https://www.evotingindia.com>** using your remote e-voting credentials shared with you from CDSL e-Voting Desk. The link for VC/OAVM will be available in members' login where the EVEN of the Company will be displayed. For the detailed procedure, kindly refer **Annexure 2** to this Notice. The same is also available on the Company's website, **www.aria.org.in**
 - Members are permitted to join the AGM through the VC/OAVM mode, 15 minutes before the scheduled time of commencement of AGM and 15 minutes thereafter, by following the procedure mentioned in **Annexure 2** of this Notice.
 - Members who do not have the User ID and Password for e-voting or have forgotten the User ID and Password may retrieve the same by following the instructions provided under **Annexure 2** to this Notice.

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- Members are encouraged to join the Meeting through Laptop/ Desktop for better experience and use the Internet with a good speed to avoid any disturbance during the AGM. Participants connecting from Mobile Devices or Tablets or through Laptop connecting via Mobile Hotspot may experience Audio/Video loss due to fluctuation in their respective network. It is therefore recommended to use Stable Wi-Fi or LAN Connection to mitigate any kind of aforesaid glitches.
 - (ii) The facility of participation at the AGM through VC/OAVM will be made available to all the members whose name is appearing in the register of members as on the cut-off date. This will not include Directors, the Chairperson of the Audit and Risk Committee, Statutory Auditors, etc. who are allowed to attend the AGM without any restrictions pertaining to joining the AGM on a first come first serve basis.
 - (iii) The attendance of the Members attending the AGM through VC / OAVM will be counted for the purpose of ascertaining the quorum under Section 103 of the Act.
 - (iv) Since this AGM is being held pursuant to the MCA Circulars through VC / OAVM, physical attendance of Members has been dispensed with. Accordingly, the facility for appointment of proxies by the Members will not be available for the AGM. Therefore, the Proxy Form as well as the Attendance Slip are not annexed to this Notice.
 - (v) The transcript of the meeting will be made available on the Company's website www.aria.org.in as soon as it is available.
3. **Electronic Dissemination of Notice:** In compliance with the aforesaid requirements of the MCA Circulars, electronic copy of the Notice has been sent to members whose e- mail addresses are registered with the Company through electronic means and no physical Notice is being sent to any Member. Members may also note that the Notice of the AGM is also available on the Company's website i.e. www.aria.org.in and on the website of Central Depository Services (India) Limited ("CDSL") i.e. www.evotingindia.com, appointed by the Company as the authorized to provide the facility of voting by electronic means..
4. In terms of the MCA Circulars and in the view of the Board of Directors, all matters included in this Notice are unavoidable and hence are proposed to be approved at the AGM.
5. **Route Map:** Since the AGM will be held through VC / OAVM, the route map is not annexed to the Notice.
6. **Notice Dispatch and Cut-off Date:** This Notice is being electronically dispatched on Monday, September 07, 2026 to all members whose names appear in the Register of Members of the Company and whose email addresses are registered with the Company as on this date of dispatch. Members were requested to register or update their email addresses on or before Saturday, September 05, 2026, to enable receipt of the Notice of the Annual General Meeting ("AGM") and instructions for participation and remote e-voting.

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For the purpose of determining the eligibility of members entitled to vote, Thursday, September 17, 2026 (cut-off date) has been fixed as the cut-off date. Accordingly, only those members whose names appear in the Register of Members as at the close of business on Thursday, September 17, 2026 shall be entitled to exercise voting rights on the resolutions set out in the Notice of the AGM.

7. **Members' Record Update:** Members may send an email to membership@aria.org.in for updating their email ID, contact number or any other membership details.
8. **Member Queries with Respect to Business as stated in the Notice of this AGM:**
For smooth conduct of AGM proceedings, Members who have queries with regard to the matters to be placed at the AGM, can send their request by providing their registered name, organisation and mobile number from their registered e-mail ID to directors@aria.org.in at least 24 hours in advance before the start of meeting i.e. by **Wednesday, September 23, 2026 before 5:00 p.m. (IST)**.

Members who wish to ask questions or express their views at the AGM may register themselves as a **'Speaker'** by registering themselves with the Company by sending their request by providing their name, membership id and mobile number from their registered e-mail ID to directors@aria.org.in at least 24 hours in advance before the start of meeting i.e. by **Wednesday, September 23, 2026 before 5:00 p.m. (IST)**.

- (i) In case of any query during the meeting, Members may utilize the 'Q&A' option available in the meeting room, and post questions along with their details name, membership id, registered e-mail ID and mobile number, to enable the Company to respond to their queries either at the meeting, if time permits, or subsequent to the meeting.

Members may note that the Company reserves the right to restrict the number of questions and speakers during the AGM, depending upon availability of time and for conducting the proceedings of the meeting smoothly.

9. **E-voting:** In accordance with the applicable provisions of the Companies Act, 2013, the businesses set out in this Notice shall be transacted through remote e-voting and through the e-voting system provided during the AGM.
 - (i) In accordance with the provisions of Section 108 and other applicable provisions, if any, of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 and amendments thereto, the Company has engaged the services of Central Depository Securities (India) Limited ('CDSL') to provide the

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facility of voting through electronic means to the members to enable them to cast their votes electronically in respect of all the businesses to be transacted at the aforesaid Meeting.

- (ii) The Company has appointed Ms. Krupa Joisar, Practicing Company Secretary (Membership No. 11117) as the Scrutinizer for scrutinizing the entire e-voting process i.e. remote e-voting and e-voting during the AGM to ensure that the process is carried out in a fair and transparent manner.
- (iii) Members attending the AGM through VC / OAVM should note that those who are entitled to vote but have not exercised their right to vote by remote e-voting, may vote during the AGM through e-voting for all businesses specified in the Notice. The Members who have exercised their right to vote by remote e-voting may attend the AGM but cannot vote during the AGM.
- (iv) Voting rights of the members (for voting through remote e-voting or e-voting system provide in the Meeting) shall be one member – one vote as on the cut-off date i.e. Thursday, September 17, 2026
- (v) A Member can opt for only one mode of voting i.e. either through Remote e-voting or voting at the AGM. If a member casts votes by both modes, then voting done through remote e-voting shall prevail.
- (vi) The remote e-voting period begins on Friday, September 18, 2026 (09.00 a.m. IST onwards) and ends on Wednesday, September 23, 2026 (up to 05.00 p.m. IST). The remote e-voting module shall be disabled by CDSL for voting thereafter i.e. beyond 05.00 p.m. IST of September 23, 2026. For e-voting, please read carefully the “Instructions for e-voting” enumerated in this Notice as **Annexure 2**.
- (vii) The results of remote e-voting and e- voting system provided in the Meeting shall be aggregated and declared on or after the Meeting of the Company by the Chairman or by any other person duly authorized in this regard.
- (viii) The Scrutinizer, after completion of scrutiny, will submit report within three days to the Chairperson or any Director of the Company as may be authorized in writing in this regard.
- (ix) The results declared along with the report of the scrutinizer shall be placed on the Company’s website i.e. www.aria.org.in and on the website of CDSL i.e. www.evotingindia.com immediately after the result is declared by the Chairman.

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- (x) If the proposed resolution is assented by requisite majority, it shall be deemed to have been passed on the date of the AGM i.e. on Thursday, September 24, 2026.
- 10. Inspection of documents:** The requisite copy of Register(s) and other relevant documents shall be made available only in electronic form for inspection during the Meeting through VC which can be accessed at www.aria.org.in
- 11. Webcast:** The Company is providing the facility of live webcast of proceedings of the AGM. Members who are entitled to participate in the AGM can view the proceedings of AGM by logging on the website of CDSL at www.evotingindia.com using their login credentials.

Annexure – 1: Explanatory Statement pursuant to Section 102 of the Companies Act, 2013

This explanatory statement sets out all material facts and information relating to the Special Business mentioned in the accompanying Notice.

Item No. 2: Appointment of Mr. Harendra P Zatakia (DIN: 11928207) as Director:

Mr. Harendra P. Zatakia, aged 43 years, is the Founder of Wealth Aligned Financial Advisory and a SEBI-registered Investment Adviser. He has over 21 years of experience in global investment banking and financial services, including senior roles as Vice President at Nomura, Citi and UBS. His expertise spans valuation control, independent price verification, risk, capital and liquidity management, regulatory reporting and governance across equities, fixed income, foreign exchange and OTC derivatives. He has also worked closely with regulators including the SEC, PRA and EBA on Basel requirements and regulatory frameworks.

He holds an MBA in Finance, B.Com and CFA (Foundation), and is a Certified Financial Planner™ (CFP®) and NISM-certified Investment Adviser. He currently focuses on comprehensive financial planning and investment advisory, including strategic asset allocation, risk management, domestic and international investments, and long-term wealth creation for HNI and NRI clients.

Mr. Harendra P. Zatakia, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from him a declaration to this effect and his consent to act as a Director. The Board recommends that the Members consider his candidature for appointment as a Director of the Company.

Mr. Harendra P. Zatakia is not related to any Director of the Company.

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Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.02 except to the extent of their membership in the Company.

Item No. 3: Appointment of Mr. Hrushikesh Kale (DIN: 08212909) as Director:

Mr. Hrushikesh Kale, aged 41 years, is an Individual Investment Adviser and has over 15 years of experience in investment advisory and portfolio management. He was earlier associated with Ennovate Research Investment and Capital and Hedging Ventures, where he worked as a Research Analyst and Director respectively. He is a CFA, FRM and CQF charterholder and holds an MBA, LLB and BE. He is a SEBI-registered Investment Adviser and a member of ARIA since May 2024, where he has volunteered with the New Member Committee. He also has experience with the CFA Pune Chapter. He currently focuses on investment advisory and portfolio management and is committed to maintaining high ethical and professional standards.

Mr. Hrushikesh Kale, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from him a declaration to this effect and his consent to act as a Director. The Board recommends that the Members consider his candidature for appointment as a Director of the Company.

Mr. Hrushikesh Kale is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.03 except to the extent of their membership in the Company.

Item No. 4: Appointment of Mr. Jigar Naresh Patel (DIN: 03592820) as Director:

Mr. Jigar Naresh Patel, aged 48 years, is the Principal Officer of Neuron Wealth Advisors LLP and has over 25 years of professional experience across investment advisory, taxation, consulting and financial services. He has spent the last 5 years advising HNIs and NRIs, with a particular focus on US-related investments, and previously spent 11 years as a partner in a CA firm advising on NRI investments, taxation and FEMA matters. He also worked for over 6 years in the USA, including more than 4 years in consulting with KPMG Chicago. He is a CFA (USA), CA (India) and holds an MBA in Finance and a Master's degree. He has been an ARIA member since 2022 and has actively contributed to various ARIA committees, including Member Engagement, Volunteering and Fiduciary Advisory Practice Standards. He was co-opted to the ARIA Board in April 2026. He has also been associated with CFA Society India since 2011 and has served as Co-chair of the CFA Society Ahmedabad Chapter.

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Mr. Jigar Naresh Patel was appointed as an Additional Director of the Company with effect from 24th September 2025. He holds office as an Additional Director up to the date of the ensuing General Meeting and is proposed to be appointed as a Director of the Company.

Mr. Jigar Naresh Patel, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from him a declaration to this effect and his consent to act as a Director. The Board recommends that the Members consider his candidature for appointment as a Director of the Company.

Mr. Jigar Naresh Patel is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.04 except to the extent of their membership in the Company.

Item No. 5: Appointment of Mr. Jitendra Pratap Singh Solanki (DIN: 08865867) as a Director:

Jitendra Pratap Singh Solanki, aged 50, is an Individual SEBI-registered Investment Adviser (RIA No. INA100000184) since 2013, with 20 years of experience in the financial services industry. He is a Post Graduate and CFP and has been an ARIA member since its inception. He has contributed 2 years of ARIA volunteer experience and 5 years of volunteering experience with other organisations. His latest audit was completed in 2025 with no observations, and his October 2025 SEBI inspection was reported as all clear. He has no professional misconduct, ODR complaints or MFD ownership/directorship, reflecting a clean professional record.

Mr. Jitendra Pratap Singh Solanki, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from him a declaration to this effect and his consent to act as a Director. The Board recommends that the Members consider his candidature for appointment as a Director of the Company.

Mr. Jitendra Pratap Singh Solanki is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.05 except to the extent of their membership in the Company.

Item No. 6: Appointment of Mr. Kapil Agarwal (DIN: 07101961) as Director:

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Mr. Kapil Aggarwal, aged 42 years, is the Founder and Proprietor of InvestKaps and a SEBI-registered Investment Adviser. He has nearly two decades of experience across treasury, credit risk and corporate banking with leading banks, along with around 1.5 years of experience with a high-frequency trading firm. He holds a B.Tech. from IIT Delhi and a PGDM from IIM Lucknow, and is a Professional Risk Manager (PRM) and also holds an Masters in Management from ESCP Business School Paris. Having recently transitioned from a largely salaried career to independent investment advisory, he is focused on building his practice and is keen to contribute to ARIA and the development of the RIA community in India.

Mr. Kapil Agarwal, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from him a declaration to this effect and his consent to act as a Director. The Board recommends that the Members consider his candidature for appointment as a Director of the Company.

Mr. Kapil Agarwal is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.06 except to the extent of their membership in the Company.

Item No. 7: Appointment of Mr. Kishan Murjani Nair (DIN:05005329) as Director:

Mr. Kishan Murjani Nair (37 years) is the Founder and Director of Flameback Capital Private Limited, a SEBI-registered non-individual investment adviser. He is a CFA Charterholder and holds a Bachelor of Business Management from Jain College, Bangalore University. He has over 12 years of experience in designing and managing systematic, rules-based investment strategies and currently serves 350+ advisory clients, with approximately ₹70 crore in assets under advice. He founded Flameback Capital with the objective of bringing disciplined, systematic and rules-based investing to Indian investors through a transparent and conflict-free advisory model. He has been a member of ARIA since 24 January 2023 and is committed to strengthening the RIA profession and contributing actively to ARIA's mission. He has no prior ARIA Board or volunteer experience and has no reported professional misconduct, ongoing inquiries, or SMART/ODR complaints in the last three years.

Mr. Kishan Murjani Nair, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from him a declaration to this effect and his consent to act as a Director. The Board recommends that the Members consider his candidature for appointment as a Director of the Company.

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Mr. Kishan Murjani Nair, is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.07 except to the extent of their membership in the Company.

Item No. 8: Appointment of Ms. Kiran Parag Telang (DIN: 06729480) as Director:

Ms. Kiran Parag Telang, aged 49, is the Director & Principal Officer of Dhanayush Capital Services Pvt. Ltd., a SEBI-registered Investment Adviser (RIA No. INA000021021). She has been associated with financial planning and wealth management since 2006 and holds a Master's in Management Studies, CFP and NISM XA/XB qualifications.

She is a Founder Member and Past President of The Financial Planners' Guild, India, and has been an ARIA member since 2019. The firm follows a full-time, AUA-based advisory model, with 10-300 RIA clients and AUA below ₹50 crore. She has 50% ownership in Dhanayush Capital Services Pvt. Ltd. and has no reported professional misconduct, ODR complaints or audit observations.

Ms.Kiran Parag Telang, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from her a declaration to this effect and her consent to act as a Director. The Board recommends that the Members consider her candidature for appointment as a Director of the Company.

Ms.Kiran Parag Telang, is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.8 except to the extent of their membership in the Company.

Item No. 9 Appointment Mr. Manikaran Singal (DIN: 02136238) as a Director:

Manikaran Singal, aged 44, is the Managing Director & Principal Officer of Good Moneying Wealth Planners Pvt. Ltd., a SEBI-registered Investment Adviser (RIA No. INA000018744). He holds an MBA in Finance and CFP qualification and is engaged in professional investment advisory and wealth-planning services. The firm is a non-individual RIA, operating on a full-time basis. He has no reported professional misconduct or ODR complaints. The latest audit was completed in 2024-25, with audit observations reported. He underwent a SEBI inspection on 29-30 December 2025, with the inspection report issued on 2 March 2026.

Mr. Manikaran Singal, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from him a declaration to this

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effect and his consent to act as a Director. The Board recommends that the Members consider his candidature for appointment as a Director of the Company.

Mr. Manikaran Singal, is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.09 except to the extent of their membership in the Company.

Item No. 10 Appointment Mr. Mohammed Shareefuddin Shabbir (01600370) as a Director:

Mohammed Shareefuddin Shabbir, aged 73, is the Founder & Managing Director of SenSage Financial Services Pvt. Ltd., a SEBI-registered Investment Adviser since 2006. He brings over four decades of experience across banking, investment banking and wealth management in India and the Gulf. A founding member of APIFA and ARIA, he has been associated with the RIA profession from its early regulatory years. His expertise includes technology adoption, investor education, ethical fee-based advice and financial inclusion, with a focus on values-led and Shariah-compliant investing. He has a clean professional record, with no audit observations, misconduct or ODR complaints. He also holds ARN-44723 as a non-individual Investment Adviser.

Mr. Mohammed Shareefuddin Shabbir, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from him a declaration to this effect and his consent to act as a Director. The Board recommends that the Members consider his candidature for appointment as a Director of the Company.

Mr Mohammed Shareefuddin Shabbir is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.10 except to the extent of their membership in the Company.

Item No. 11 Appointment Mr. Neelakantan Pillai (09442493) as a Director:

Dr. Neelakantan Pillai, aged 66, is the Managing Director of Vasupradah Investment Advisory Services Pvt. Ltd., a SEBI-registered Investment Adviser (RIA No. INA000020059). He has nearly four decades of experience in Banking and Financial Services, including a long tenure with a leading private bank. He has handled 200+ IPOs, including those of leading corporates, and has experience with regulatory committees, financial products, systems, operations, due diligence, reporting and AML compliance.

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He holds a BBM and Honorary Doctorate and has been an ARIA member since 2021. He has no reported professional misconduct, ODR complaints or audit observations. His key strengths are operations, systems, process orientation and compliance.

Mr. Neelakantan Pillai, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from him a declaration to this effect and his consent to act as a Director. The Board recommends that the Members consider his candidature for appointment as a Director of the Company.

Mr. Neelakantan Pillai is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.11 except to the extent of their membership in the Company.

Item No. 12 Appointment Mr.Nitin Sawant (06890605) as a Director:

Nitin Sawant, aged 41, is the Director of NS Wealth Solution Pvt. Ltd., a SEBI-registered Investment Adviser (RIA No. INA000009551) with 17+ years of experience in financial planning and investment advisory. He holds an MBA, CFP and CTEP qualifications and founded NS Wealth Financial Planners in 2008, focusing on goal-based planning, asset allocation, risk management and unbiased investment advice.

He is an ARIA lifetime member since 2022 and is also associated with OMS Fintech Account Aggregator Pvt. Ltd., an RBI-licensed Account Aggregator. He has 10-300 RIA clients and AUA of ₹ and AUA of l.

Mr. Nitin Sawant, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from him a declaration to this effect and his consent to act as a Director. The Board recommends that the Members consider his candidature for appointment as a Director of the Company.

Ms. Nitin Sawant is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.12 except to the extent of their membership in the Company.

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Item No.13: Appointment of Ms. Pramiti Rawat (DIN: 11928186)as Director:

Ms. Pramiti Rawat, aged 25 years, is a SEBI-registered Investment Adviser and Principal Officer with around 2 years of professional experience. She holds an MBA in Finance from the Institute of Management, Nirma University and has been an ARIA member since September 2025. She has been volunteering in Education Standard and Members Engagement committees. As a relatively new entrant to the investment advisory profession, she brings a next-generation perspective and firsthand experience of building an independent advisory practice. She is keen to contribute to ARIA by bringing energy, candour and fresh perspectives, while learning from and contributing to the broader RIA community.

Ms. Pramiti Rawat, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from her a declaration to this effect and her consent to act as a Director. The Board recommends that the Members consider her candidature for appointment as a Director of the Company.

Ms. Pramiti Rawat is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.13 except to the extent of their membership in the Company.

Item No. 14: Appointment of Ms. Ratnasri Karra (DIN: 02400787) as Director:

Ms. Ratnasri Karra aged 56 years is the Principal Officer of Her-Mony Services Pvt. Ltd., a SEBI-registered non-individual investment adviser. She holds a BA and PGDBA in Finance and is a CFA (ICFAI). She has been practising as an Investment Adviser since 2014, with experience across a partnership entity, as an individual adviser, and currently through Her-Mony Services Pvt. Ltd. She has been an ARIA member since 2018 and has contributed approximately 8 years of volunteer service to ARIA. She is also an active volunteer with CFA Society and has been associated with it for the last 10 years. Her-Mony Services currently serves 10–300 RIA clients, with assets under advice of less than ₹50 crore, and follows a combination of fixed-fee and AUA-based fee models. She has no prior ARIA Board experience and has reported no audit observations, professional misconduct, regulatory inquiries, or SMART/ODR complaints in the last three years. She is committed to contributing her experience and industry knowledge towards strengthening the RIA profession and supporting the objectives of ARIA.

Ms. Ratnasri Karra, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from her a declaration to this effect

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and her consent to act as a Director. The Board recommends that the Members consider her candidature for appointment as a Director of the Company.

Ms. Ratnasri Karra, is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.14 except to the extent of their membership in the Company.

Item No. 15: Appointment of Rohan Devendra Borawake (07239185) as a Director:

Rohan Devendra Borawake, aged 30, is the Director & Principal Officer of FinSharpe Private Limited, a SEBI-registered Investment Adviser (RIA No. INA000018489). He is a BE Mechanical and MSc Finance graduate with experience as a Research Analyst, Derivatives Trader and Investment Adviser. He is the CEO & Co-Founder of FinSharpe, a quantitative and technology-driven investment management firm focused on systematic trading, quantitative research, automation and data-driven investment strategies. He has been an ARIA member since 2022 and is focused on disciplined investing and the application of AI and technology in financial markets.

He has a clean professional record, with no misconduct, ODR complaints or audit observations, and no ownership/directorship in an MFD.

Mr. Rohan Devendra Borawake, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from him a declaration to this effect and his consent to act as a Director. The Board recommends that the Members consider his candidature for appointment as a Director of the Company.

Mr. Rohan Devendra Borawake. is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.15 except to the extent of their membership in the Company.

Item No. 16: Appointment of Mr. Suresh Sadagopan (DIN: 06566562) as Director:

Mr. Suresh Sadagopan, aged 60 years, is the Founder and Managing Director of Ladder7 Wealth Planners Pvt. Ltd. and a SEBI-registered Investment Adviser. He is a financial planning professional with over two decades of experience and has been associated with the financial planning profession

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since 2004. A CFP, CTEP and RLP, he has served on the FPSB India Board and founded FPGI. He is also a founding board member of ARIA and has served on its Board for 9 years. An author and speaker, he is passionate about promoting fee-only, fiduciary advice, comprehensive financial planning and higher professional standards in the financial planning and investment advisory profession.

Mr. Suresh Sadagopan was appointed as an Additional Director of the Company with effect from 24th September 2025. He holds office as an Additional Director up to the date of the ensuing General Meeting and is proposed to be appointed as a Director of the Company.

Mr. Suresh Sadagopan, is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from him a declaration to this effect and his consent to act as a Director. The Board recommends that the Members consider his candidature for appointment as a Director of the Company.

Mr. Suresh Sadagopan, is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.16 except to the extent of their membership in the Company.

Item No. 17: Appointment of Ms. Upasana Mondal (DIN:10074676) as a Director:

Ms. Upasana Mondal, aged 43 years, is the Founder of DreamBlueprintz and a SEBI-registered Investment Adviser with 18 years of total professional experience. She has been in the financial planning profession since 2011 and has worked on comprehensive financial plans for both Indian and US citizens. She has previously been associated with Canara HSBC Life Insurance and Ameriprise, a US-based financial services firm. She holds a B.Com and MBA and is a Fellow of the Insurance Institute of India (FIII) and a Certified Financial Planner (CFP). Based in Goa, she follows a fixed-fee advisory model and has been an ARIA member since 2021, serving on the Member Engagement Committee. She is focused on providing client-centric financial planning while bringing experience in Indian and US financial compliance.

Ms, Upasana Mondal is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from her a declaration to this

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effect and her consent to act as a Director. The Board recommends that the Members consider her candidature for appointment as a Director of the Company.

Ms. Upasana Mondal, is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.17 except to the extent of their membership in the Company.

Item No. 18: Appointment of Mr Viresh P. Patel (DIN: 11928183) as a Director:

Viresh P. Patel, aged 52, is an Individual SEBI-registered Investment Adviser (RIA No. INA000021076, granted on 25 September 2025), operating on a fixed-fee advisory model. He holds a B.Com, CFP, NISM XA & XB, PGP-SM-IA and Licentiate qualifications. He began his financial-services career in 2005-06 as a financial product distributor and moved to fee-only advisory in 2011-12, continuing with a fixed-fee model since 2012 and now operating independently. He has been an ARIA member since October 2025 and has volunteered at the ARIA Outlook Money exhibition. He has served by taken leadership positions in Business Networks like BNI, VBN, social groups & NGOs like PSABES and River March and supports organ-donation initiatives. He has no reported audit observations, SEBI inspections, professional misconduct or ODR complaints, and no ownership/directorship in an MFD. His advisory practice is driven by a passion to add value to small- and middle-income families while helping them achieve their financial goals.

He is also invited as guest on media channels - past/current - CNBC Bazar, Zee Mutual Fund Live, BONUS TV by Dainik Bhaskar group, did Podcast with Mint, and contributes regular articles to FPSB journal and is quoted in multiple print media on largely non-technical personal finance matters and industry issues and also conducted Master class with FOSB on Practice Startup and Practice Management.

Mr. Viresh P. Patel is not disqualified from being appointed as a Director in terms of Section 164 of the Companies Act, 2013 ("the Act"). The Company has received from him a declaration to this effect and his consent to act as a Director. The Board recommends that the Members consider his candidature for appointment as a Director of the Company.

Mr. Viresh P. Patel is not related to any Director of the Company.

Except as stated above, none of the Directors, Key Managerial Personnel of the Company or their respective relatives is concerned or interested, financially or otherwise, in the proposed resolution No.18 except to the extent of their membership in the Company.

Association of Registered Investment Advisers

CIN : U93090MH2019NPL319033

By Order of the Board of Directors
For **Association of Registered Investment Advisers**

--Sd/--

Mr. Amit Kukreja
Chairperson & Director
(DIN: 06855921)

Registered Office:

701/702 Madhava Building 7th floor,
Bandra Kurla Complex, Bandra East,
Mumbai – 400051.

Date: September 07, 2026

Place: Mumbai

Association of Registered Investment Advisers

CIN : U93090MH2019NPL319033

Annexure – 2: Procedure for remote e-voting, attending the AGM and e-voting during the AGM

A. Procedure and instructions for remote e-voting:

- i. The voting period begins on Friday, September 18, 2026 (09.00 a.m. IST onwards) and ends on Wednesday, September 23, 2026 (05.00 p.m. IST). The e-voting module shall be disabled for voting thereafter.
- ii. Voters should log on to the e-voting website www.evotingindia.com during the voting period.
- iii. Click on Shareholders/ Members.
- iv. Enter your User ID as sent by CDSL
- v. Next enter the Image Verification as displayed and Click on Login.
- vi. Enter your password as sent by CDSL
- vii. After entering these details appropriately, click on “SUBMIT” tab.
- viii. Select the EVSN of “ASSOCIATION OF REGISTERED INVESTMENT ADVISERS” on which you choose to vote.
- ix. On the voting page, you will see “RESOLUTION DESCRIPTION” and against the same the option “YES/NO” for voting. Select the option YES or NO as desired. The option YES implies that you assent to the Resolution and option NO implies that you dissent to the Resolution.
- x. Click on the “RESOLUTIONS FILE LINK” if you wish to view the entire Resolution details.

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- xi. After selecting the resolution, you have decided to vote on, click on "SUBMIT". A confirmation box will be displayed. If you wish to confirm your vote, click on "OK", else to change your vote, click on "CANCEL" and accordingly modify your vote.
- xii. Once you "CONFIRM" your vote on the resolution, you will not be allowed to modify your vote.
- xiii. You can also take out print of the voting done by you by clicking on "Click here to print" option on the Voting page.

B. Procedure and instructions for Members attending the AGM through VC / OAVM:

- i. Members will be provided with a facility to attend the AGM through VC/OAVM through the CDSL e-Voting system. Members may access the same at <https://www.evotingindia.com> under shareholders/members login by using the remote e-voting credentials. The link for VC/OAVM will be available in shareholders/members login where the EVSN of Company will be displayed.
- ii. Members are encouraged to join the Meeting through Laptops / iPad for better experience.
- iii. Further members will be required to allow Camera and use Internet with a good speed to avoid any disturbance during the meeting.
- iv. Please note that Participants Connecting from Mobile Devices or Tablets or through Laptop connecting via Mobile Hotspot may experience Audio/Video loss due to Fluctuation in their respective network. It is therefore recommended to use Stable Wi-Fi or LAN Connection to mitigate any kind of aforesaid glitches.
- v. Members who would like to express their views/ask questions during the meeting may register themselves as a speaker by sending their request in advance atleast 2 days prior to meeting mentioning their name, demat account number/folio number, email id, mobile number at directors@aria.org.in (company email id). The members who do not wish to speak during the AGM but have queries may send their queries in advance 2 days prior to meeting mentioning their name, demat account number/folio number, email id, mobile number at directors@aria.org.in (company email id). These queries will be suitably replied either at the AGM or by email depending on the availability of time.
- vi. Those Members who have registered themselves as a speaker will only be allowed to express their views/ask questions during the meeting.

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C. Procedure and instructions for Members for e-voting during the AGM are as under:

- i. The procedure for e-Voting on the day of the AGM is same as the instructions mentioned above for Remote e-voting.
- ii. Only those members, who are present in the AGM through VC/OAVM facility and have not casted their vote on the Resolutions through remote e-Voting and are otherwise not barred from doing so, shall be eligible to vote through e-Voting system available during the AGM.
- iii. If any votes are cast by the members through the e-voting available during the AGM and if the same members have not participated in the meeting through VC/OAVM facility, then the votes cast by such members shall be considered invalid as the facility of e-voting during the meeting is available only to the members attending the meeting.
- iv. Members who have voted through Remote e-Voting will be eligible to attend the AGM. However, they will not be eligible to vote at the AGM.
- v. If you have any queries or issues regarding attending AGM & e-Voting from the e-Voting System, you may refer the Frequently Asked Questions ("FAQs") and e-voting manual available at www.evotingindia.com, under help section or write an email to helpdesk.evoting@cdslindia.com or contact Mr. Rakesh Dalvi (022-022 62343625).
- vi. All grievances connected with the facility for voting by electronic means may be addressed to Mr. Rakesh Dalvi, Manager, (CDSL,) Central Depository Services (India) Limited, A Wing, 25th Floor, Marathon Futurex, Mafatlal Mill Compounds, N M Joshi Marg, Lower Parel (East), Mumbai - 400013 or send an email to helpdesk.evoting@cdslindia.com or call on 022- 62343626/25.

Association of Registered Investment Advisers

CIN : U93090MH2019NPL319033

By Order of the Board of Directors
For **Association of Registered Investment Advisers**

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Chairperson & Director
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