



SAAS TEMPLATES 2022

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Use Restrictions: Exclusively for members of ARIA

Prepared by: Infosec Partner: M/s. Sanjay Kadel & Co. Chartered Accountants

Organised by: Association of Registered Investment Advisers (ARIA)

Guidance / References

1.	Obtain from SAAS providers (vendors) , as far as possible: Written declaration / Email confirmation.	→ Refer: Doc 12_Vendor Declaration for SAAS.docx → Refer: Doc 13_Vendor Email format.docx
2.	Email Compliance report on or before the audit is conducted / latest by September 30, 2022.	→ Refer: Doc 10_RIA SAAS Undertaking.docx → Refer: Doc 11_RIA SAAS Email format for Reporting.docx

Classification: Private

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